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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/547,684	10/06/2006	Peter Mitchell	18271US01	9135
23446 7590 01/12/2010 MCANDREWS HELD & MALLOY, LTD 500 WEST MADISON STREET SUITE 3400 CHICAGO, IL 60661				
EXAMINER ROWLAND, STEVE				
ART UNIT		PAPER NUMBER		
3714				
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/547,684

**Applicant(s)**

MITCHELL ET AL.

**Examiner**

Steve Rowland

**Art Unit**

3714

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 30 September 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-64 is/are pending in the application.
- 4a) Of the above claim(s) 16-50 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-15 and 51-64 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 August 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB06)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ ~~Notes of Informal Patent Application~~
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Response to Amendment***

1. This action is responsive to Applicant's communication filed on 09/30/2009.

***Drawings***

2. Examiner notes Applicant's remarks with respect to the drawings and accordingly withdraws the previous objection.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

4. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

5. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

**6. Claims 1-10 and 52-59 are rejected under 35 U.S.C. 103(a) as being unpatentable over Williams (US Pat. No. 6,132,311) in view of Moody (US Pub. No. 2003/0214097 A1) (Provisional App. No. 60/380,748).**

Regarding claim 1, Williams teaches a machine comprising a display (col. 5, lines 1-8), a game controller arranged to control images of symbols displayed on the display (col. 4, lines 59-67), the game controller being arranged to play a game wherein at least one random event is caused to be displayed on the display and, if a predefined winning event occurs, a prize is awarded (Abstract), and a plurality of sub-games constituting the game displayed on the display with, as an initial display, fewer than a full set of images of each of the sub-games being displayed to show a partial outcome of the game (Fig. 1), the fewer than the full set of images being representative of a determination of an expected value for each of the sub-games (Fig. 3). It is noted that Williams does not specifically teach wherein the expected value of a first sub-game as derived from the displayed partial outcome of the first sub-game is used to select the displayed fewer than full set of images of the remaining sub-games in the initial display. However, Moody suggests wherein the expected value of a first sub-game as derived from the displayed partial outcome of the first sub-game is used to select the displayed fewer than full set of images of the remaining sub-games in the initial display (§ [0034]). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Williams and Moody in order to balance payouts with coin-in and thus manage profit margins.

Regarding claims 2 and 52, Williams teaches each sub-game has a plurality of image carrying elements, each of which carries a plurality of images required to be considered in assessing an outcome of the game (col. 7, lines 29-67; col. 8, lines 1-7).

Regarding claims 3 and 53, Williams teaches an initial display of each sub-game where fewer than all of the image carrying elements of the sub-games are displayed to display the partial outcomes of the sub-games (Fig. 2).

Regarding claim 4, Williams teaches an expected value of the first sub-game as derived from the displayed partial outcome of the first sub-game which is used to select the displayed fewer than all of the image carrying elements of the remaining sub-games in the initial display (Fig. 3).

Regarding claim 5, Williams teaches a game controller which includes a data storage element in which data relating to expected values for each of the remaining sub-games are stored (20).

Regarding claim 6, Williams teaches data which are stored in the form of look-up tables for each of the sub-games (20).

Regarding claim 7, it is noted that Williams does not specifically teach wherein, once the expected value for the first sub-game has been determined, the game controller accesses the look-up tables for each of the remaining sub-games to ascertain the expected value for each of the remaining sub-games which most closely approximates the expected value for the first sub-game. However, Moody suggests wherein, once the expected value for the first sub-game has been determined, the game controller accesses the look-up tables for each of the remaining sub-games to ascertain the expected value for each of the remaining sub-games which most closely approximates the expected value for the first sub-game (§ 10034). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Williams and Moody in order to balance payouts with coin-in and thus manage profit margins.

Regarding claims 8 and 57, Williams teaches a sub-game which has a feature game associated with it and, if that feature is won, the feature is also played before the game is concluded (col. 8, lines 14-29).

Regarding claims 9 and 58, Williams teaches a feature associated with each sub-game which is a no-cost feature (col. 8, lines 14-29).

Regarding claims 10 and 59, Williams teaches a feature associated with each sub-game which is triggered by the controller independently of the result of a base sub-game preceding the triggered feature (col. 8, lines 14-29).

Regarding claim 51, Williams teaches a method of playing a wagering game on a gaming machine having a display and a controller (col. 4, lines 59-67), comprising arranging the controller to play a game having a plurality of sub-games wherein at least one random event is caused to be displayed on said display and, if a predefined winning event occurs, a prize is awarded (Abstract), and displaying on said display a partial outcome of said game through fewer than a full set of images of each of said sub-games (Fig. 1). It is noted that Williams does not specifically teach wherein an expected value of one sub-game as derived from said one of said sub-games is used to select said fewer than a full set of images of said remaining sub-games. However, Moody suggests wherein an expected value of one sub-game as derived from said one of said sub-games is used to select said fewer than a full set of images of said remaining sub-games game (§ [0034]). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Williams and Moody in order to balance payouts with coin-in and thus manage profit margins.

Regarding claim 54, it is noted that Williams does not specifically teach storing data relating to expected values for each of the remaining sub-games in a data storage. However, Moody suggests storing data relating to expected values for each of the remaining sub-games in a data storage (§ [0034]). Hence, it would have been obvious to a person of ordinary skill in the

art at the time the invention was made to combine the teachings of Williams and Moody in order to balance payouts with coin-in and thus manage profit margins.

Regarding claim 55, it is noted that Williams does not specifically teach storing said data of said sub-games in look-up tables. However, Moody suggests storing said data of said sub-games in look-up tables (§ [0034]). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Williams and Moody in order to balance payouts with coin-in and thus manage profit margins.

Regarding claim 56, it is noted that Williams does not teach accessing said look-up tables for each of said remaining sub-games to ascertain said expected value for each of the remaining sub-games which most closely approximates the expected value for the first sub-game. However, Moody suggests accessing said look-up tables for each of said remaining sub-games to ascertain said expected value for each of the remaining sub-games which most closely approximates the expected value for the first sub-game (§ [0034]). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Williams and Moody in order to balance payouts with coin-in and thus manage profit margins.

**7. Claims 11-14 and 60-63 are rejected under 35 U.S.C. 103(a) as being unpatentable over Williams in view of Moody and Cannon et al (US Pub. No. 2002/0183105 A1) (hereinafter “Cannon”).**

Regarding claims 11 and 60, it is noted that neither Williams nor Moody teaches features associated with the sub-games which differ from one another. However, Cannon suggests features associated with the sub-games which differ from one another (§ [0115]). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Williams, Moody, and Cannon in order to create diverse and captivating bonus presentations which will potentially induce longer play.

Regarding claims 12 and 61, it is noted that neither Williams nor Moody teaches a game which has a jackpot bonus feature associated with it. However, Cannon suggests a game which has a jackpot bonus feature associated with it (§ [0036]). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Williams, Moody, and Cannon in order to create an exciting game in which the player competes for a larger than normal payout, thus potentially inducing longer play.

Regarding claims 13 and 62, it is noted that neither Williams nor Moody teaches a progressive jackpot bonus feature. However, Cannon suggests a progressive jackpot bonus feature (§ [0078]). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Williams, Moody, and Cannon in order to create a captivating game in which the player competes for an ever-increasing payout, thus potentially inducing longer play.

Regarding claims 14 and 63, it is noted that neither Williams nor Moody teaches a progressive jackpot which comprises at least two jackpot levels being a minor jackpot and a major jackpot. However, Cannon suggests a progressive jackpot which comprises at least two jackpot levels being a minor jackpot and a major jackpot (§ [0120]). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Williams, Moody, and Cannon in order to create a captivating game in which the player competes for an ever-increasing and variable payout, thus potentially inducing longer play.

**8. Claims 15 and 64 are rejected under 35 U.S.C. 103(a) as being unpatentable over Williams in view of Cannon, Moody, and Baerlocher (US Pub. No. 2003/0054877 A1).**

Regarding claims 15 and 64, it is noted that neither Williams, Cannon, nor Moody teaches a gaming machine in which, when the bonus feature is triggered, an animation is



displayed which indicates to the player which level of jackpot the player will win. However, Baerlocher suggests a gaming machine in which, when the bonus feature is triggered, an animation is displayed which indicates to the player which level of jackpot the player will win (§ 1001.1). Hence, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Williams, Moody, Cannon, and Baerlocher in order to create an interesting and exciting visual display.

### ***Response to Arguments***

**9. Applicant's arguments filed on 09/30/2009 have been fully considered but they are moot in view of the new grounds of rejection.**

### ***Conclusion***

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Steve Rowland whose telephone number is (571) 270-7844. The examiner can normally be reached on Monday through Thursday, alternate Fridays, 8:30 am to 6:00 pm, Eastern Time. If attempts to reach the examiner by telephone are unsuccessful, the

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examiner's supervisor, Dmitry Suhol can be reached on (571) 272-4430. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/S. R./  
Examiner, Art Unit 3714

/John M Hotaling II/  
Primary Examiner, Art Unit 3714